CARIBBEAN COMMUNITY CLIMATE CHANGE CENTRE

(CCCCC)



REQUEST FOR PROPOSAL

Recruitment of Consultancy Firm Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund - Belize

Contract# 74/2019/GCF/Belize/CCCCC

September 2019

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REQUEST FOR PROPOSALS RFP No: Contract# 74/2019/GCF/Belize/CCCCC

Organization Name: CARIBBEAN COMMUNITY CLIMATE CHANGE CENTRE

Title of Consulting Services:

Recruitment of Consultancy Firm Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund - Belize

SECTION1. Letter of Invitation

Contract# 74/2019/GCF/Belize/CCCCC

11 September 2019

Caribbean Community Climate Change Centre (CCCCC) 2nd. Floor
L. Nicholas Building
North Ring Road
Belmopan City
BELIZE

Dear Sir/Madam.

- 1. The Caribbean Community Climate Change Centre (CCCCC) has received funds from the Green Climate Fund (GCF) for the purpose of implementing the project "GCF Readiness Building Capacity for Direct Access to Climate Finance (Readiness II)" and intends to apply a part of the proceeds towards payments for the Contract "Recruitment of Consultancy Firm for Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund".
- 2. The CCCCC now invites firms to submit proposals to provide services for the "Consultancy for Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund." More details on the services to be provided can be found in the Terms of Reference attached.
- 3. Request for Proposals for this consultancy will be conducted through the International Competitive Bidding (ICB) procedures and is open to all bidders.
- 4. A firm will be selected under the **Quality-Based Selection** (**QBS**) method and procedures described in this RFP.

5. The RFP includes the following documents:

Section 1 - Letter of Invitation

Section 2 – Instructions to Consultants

Section 3 - Technical Proposal - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 - Terms of Reference

Section 6 - Standard Forms of Contract.

6. Interested and eligible consulting firms may obtain further information from Ms.

Allison Williams, Procurement Officer, Caribbean Community Climate Change

Centre (CCCCC), Email: <u>procurement@caribbeanclimate.bz</u>, between the hours of

8.00 am to 5.00 pm Monday through Friday, and inspect the Bidding Documents at:

http://caribbeanclimate.bz/, Opportunities "Consultancy for Capacity Building of

National Designated Authority and Support for the Accreditation of the Social

Investment Fund".

7. Electronic bidding is permitted. Each submission should bear the name and address

of the firm, addressed to the Executive Director, Caribbean Community Climate

Change Centre and be clearly identified as: Consultancy for Capacity Building of

National Designated Authority and Support for the Accreditation of the Social

Investment Fund".

The address referred to above is:

Email: procurement@caribbeanclimate.bz

Caribbean Community Climate Change Centre (CCCCC),

Second Floor, Lawrence Nicholas Building

Ring Road,

Belmopan, BELIZE

Tel. No.: 501-822-1104, 822-1094

Fax.: No. 501-822-1365

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8. Interested firms are required to submit their Technical and Financial Proposal as two (2) separate PDF files, via email to: procurement@caribbeanclimate.bz on or before 2:00pm (GMT-6), Wednesday 9th October 2019.

9. The Caribbean Community Climate Change Centre (CCCCC) reserves the right to accept or reject any tender and to annul the process and reject all tenders at any time prior to the contract signature, without thereby incurring any liability.

Yours sincerely,

Willi

Allison Williams Procurement Officer

SECTION 2.

Instructions to Consultants

Definitions

- (a) "Centre" means the agency with which the selected Consultant signs the Contract for the Services.
- (b) "Consultant" means any entity or person that may provide or provides the Services to the Centre under the Contract.
- (c) "Contract" means the Contract signed by the Parties and all the attached documents listed in its Clause 1, that is the General Conditions (GC), the Special Conditions (SC), and the Appendices.
- (d) "Data Sheet" means such part of the Instructions to Consultants used to reflect specific country and assignment conditions.
- (e) "Day" means calendar day.
- (f) "Government" means the government of the Centre's country.
- (g) "Instructions to Consultants" (Section 2 of the RFP) means the document which provides shortlisted Consultants with all information needed to prepare their Proposals.
- (h) "LOI" (Section 1 of the RFP) means the Letter of Invitation being sent by the Centre to the shortlisted Consultants.
- (i) "Personnel" means professionals and support staff provided by the Consultant or by any Sub-Consultant and assigned to perform the Services or any part thereof; "Foreign Personnel" means such professionals and support staff who at the time of being so provided had their domicile outside the Government's country; "Local Personnel" means such professionals and support staff who at the time of being so provided had their domicile inside the Government's country.
- (j) "Proposal" means the Technical Proposal and the Financial Proposal.
- (k) "RFP" means the Request For Proposal to be prepared by the Centre for the selection of Consultants, based on the SRFP.
- (1) "SRFP" means the Standard Request for Proposals, which must be used by the Centre as a guide for the preparation of the RFP.

- (m) "Services" means the work to be performed by the Consultant pursuant to the Contract.
- (n) "Sub-Consultant" means any person or entity with whom the Consultant subcontracts any part of the Services.
- (o) "Terms of Reference" (TOR) means the document included in the RFP as Section 5 which explains the objectives, scope of work, activities, tasks to be performed, respective responsibilities of the Centre and the Consultant, and expected results and deliverables of the assignment.

1. Introduction

- 1.1 The Caribbean Community Climate Change Centre, will select a consulting firm/organization (the Consultant) from those who respond to publication for the submission of proposals, in accordance with the method of selection specified in the Data Sheet.
- 1.2 Consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the Data Sheet, for consulting services required for the assignment "Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund". The Proposal will be the basis for contract negotiations and ultimately for a signed Contract with the selected Consultant.
- 1.3 Consultants should familiarize themselves with local and regional conditions and take them into account in preparing their Proposals. To obtain first-hand information on the assignment and local and regional conditions, Consultants are encouraged to visit the Centre before submitting a proposal and to attend a pre-proposal conference if one is specified in the Data Sheet. Attending the pre-proposal conference is optional. Consultants should contact the Centre's representative named in the Data Sheet to arrange for their visit or to obtain additional information on the pre-proposal conference. Consultants should ensure that these officials are advised of the visit in adequate time to allow them to make appropriate arrangements.
- 1.4 The Centre will provide, on a timely basis, at no cost to the Consultants the inputs and facilities specified in the Data Sheet, assist the firm in obtaining licenses and permits needed to carry out the services, and make available relevant project data and reports.
- 1.5 Consultants shall bear all costs associated with the preparation and submission of their proposals and contract negotiation. The

Centre is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultants.

Conflict of Interest

1.6

The Centres policy requires that Consultants provide professional, objective, and impartial advice and at all times hold the Centre's interests paramount, strictly avoid conflicts with other assignments or their own corporate interests and act without any consideration for future work.

1.6.1 Without limitation on the generality of the foregoing, Consultants, and any of their affiliates, shall be considered to have a conflict of interest and shall not be recruited, under any of the circumstances set forth below:

Conflicting activities

(i) A firm that has been engaged by the Centre to provide goods, works or services other than consulting services for a project, and any of its affiliates, shall be disqualified from providing consulting services related to those goods, works or services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, and any of its disqualified affiliates, shall be subsequently providing goods or works or services other than consulting services resulting from or directly related to the firm's consulting services for such preparation implementation. For the purpose of this paragraph, services other than consulting services are defined as those leading to a measurable physical output, for example exploratory surveys, drilling, aerial photography, and satellite imagery.

Conflicting assignments

(ii) A Consultant (including its Personnel and Sub-Consultants) or any of its affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant to be executed for the same or for another Centre. For example, a Consultant hired to prepare engineering design for an infrastructure project shall not be engaged to prepare an independent environmental assessment for the same project, and a

Consultant assisting a Centre in the privatization of public assets shall not purchase, nor advise purchasers of, such assets. Similarly, a Consultant hired to prepare Terms of Reference for an assignment should not be hired for the assignment in question.

Conflicting relationships

- (iii) A Consultant (including its Personnel and Sub-Consultants) that has a business or family relationship with a member of the Centre's staff who is directly or indirectly involved in any part of (i) the preparation of the Terms of Reference of the assignment, (ii) the selection process for such assignment, or (iii) supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Centre throughout the selection process and the execution of the Contract.
- 1.6.2 Consultants have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Centre, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.
- No agency or current employees of the Centre shall work as Consultants under their own ministries, departments agencies. Recruiting or former government employees of the Centre to work for their former ministries, departments or agencies acceptable provided no conflict of interest exists. When the Consultant nominates any government employee as Personnel in their technical proposal, such Personnel must have written certification from their government or employer confirming that they are on leave without pay from their official position and allowed to work full-time outside of their previous official position. Such certification shall be provided to the Centre by the Consultant as part of his technical proposal.

Unfair Advantage

1.6.4 If a shortlisted Consultant could derive a competitive advantage from having provided consulting services related to the assignment in question, the Centre shall make available to all shortlisted Consultants together with this RFP all information that would in that respect

give such Consultant any competitive advantage over competing Consultants.

Fraud and Corruption

- 1.7 The Centre requires that all Consultants adhere to the highest ethical standards, both during the selection process and throughout the execution of a contract. In pursuance of this policy, the Centre:
 - (a) defines, for the purpose of this paragraph, the terms set forth below as follows:
 - (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the selection process or in contract execution;
 - (ii) "fraudulent practice" means a misrepresentation or omission of facts in order to influence a selection process or the execution of a contract;
 - (iii) "collusive practices" means a scheme or arrangement between two or more consultants with or without the knowledge of the Centre, designed to establish prices at artificial, noncompetitive levels;
 - (iv) "coercive practices" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in a procurement process, or affect the execution of a contract.
 - (b) will reject a proposal for award if it determines that the Consultant recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the contract in question;
 - (c) will cancel the contract if it determines at any time that representatives of the Centre and/or the engaged in corrupt, fraudulent, collusive or coercive practices during the selection process or the execution of the contract.
 - (d) will sanction a Consultant, including declaring the Consultant ineligible, either indefinitely or for a stated

period of time, to be awarded a contract by the Centre if at any time determines that the Consultant has, directly of through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for, or in executing the contract; and

- 1.8 Consultants, their Sub-Consultants, and their associates shall not be under a declaration of ineligibility for corrupt and fraudulent practices in accordance with the above para. 1.7. Furthermore, the Consultants shall be aware of the provisions on fraud and corruption stated in the specific clauses in the General Conditions of Contract.
- 1.9 Consultants shall furnish information on commissions and gratuities, if any, paid or to be paid to agents relating to this proposal and during execution of the assignment if the Consultant is awarded the Contract, as requested in the Financial Proposal submission form (Section 4).

Origin of Goods and Consulting Services

1.10

Goods supplied and Consulting Services provided under the Contract may originate from any country except if:

- (i) as a matter of law or official regulation, the Centres' country prohibits commercial relations with that country; or
- (ii) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Centre's Country prohibits any imports of goods from that country or any payments to persons or entities in that country.

Only one Proposal

1.11 Shortlisted Consultants may only submit one proposal. If a Consultant submits or participates in more than one proposal, such proposals shall be disqualified. However, this does not limit the participation of the same Sub-Consultant, including individual experts, to more than one proposal.

Proposal Validity

1.12 The Data Sheet indicates how long Consultants' Proposals must remain valid after the submission date. During this period, Consultants shall maintain the availability of Professional staff nominated in the Proposal. The Centre will make its best effort to complete negotiations within this period. Should the need arise, however, the Centre may request Consultants to extend the validity period of their proposals. Consultants who agree to such extension shall confirm that they maintain the availability

of the Professional staff nominated in the Proposal, or in their confirmation of extension of validity of the Proposal, Consultants could submit new staff in replacement, who would be considered in the final evaluation for contract award. Consultants who do not agree have the right to refuse to extend the validity of their Proposals.

Eligibility of Sub-Consultants

1.13 In case a shortlisted Consultant intends to associate with Consultants who have not been shortlisted and/or individual expert(s), such other Consultants and/or individual expert(s) shall be subject to the eligibility criteria set forth in the Guidelines.

2. Clarification and Amendment of RFP Documents

2.1

- Consultants may request a clarification of any of the RFP documents up to the number of days indicated in the Data Sheet before the proposal submission date. Any request for clarification must be sent in writing, or by standard electronic means to the Centre's address indicated in the Data Sheet. The Centre will respond in writing, or by standard electronic means and will send written copies of the response (including an explanation of the query but without identifying the source of inquiry) to all Consultants. Should the Centre deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure under para. 2.2.
- At any time before the submission of Proposals, the Centre may amend the RFP by issuing an addendum in writing or by standard electronic means. The addendum shall be sent to all Consultants and will be binding on them. Consultants shall acknowledge receipt of all amendments. To give Consultants reasonable time in which to take an amendment into account in their Proposals the Centre may, if the amendment is substantial, extend the deadline for the submission of Proposals.

3. Preparation of Proposals

- 3.1 The Proposal (see para. 1.2), as well as all related correspondence exchanged by the Consultants and the Centre, shall be written in the language (s) specified in the Data Sheet.
- 3.2 In preparing their Proposal, Consultants are expected to examine in detail the documents comprising the RFP. Material deficiencies in providing the information requested may result in rejection of a Proposal.
- 3.3 While preparing the Technical Proposal, Consultants must give particular attention to the following:

- (a) If a shortlisted Consultant considers that it may enhance its expertise for the assignment by associating with other Consultants in a joint venture or sub-consultancy, it may associate with either (a) non-shortlisted Consultant(s), or (b) shortlisted Consultants if so indicated in the Data Sheet. A shortlisted Consultant must first obtain the approval of the Centre if it wishes to enter into a joint venture with non-shortlisted or shortlisted Consultant(s). In case of association with non-shortlisted Consultant(s). the shortlisted Consultant shall act as association leader. In case of a joint venture, all partners shall be jointly and severally liable and shall indicate who will act as the leader of the joint venture.
- (b) The estimated number of Professional staff-months or the budget for executing the assignment shall be shown in the Data Sheet, but not both. However, the Proposal shall be based on the number of Professional staffmonths or budget estimated by the Consultants.

For fixed-budget-based assignments, the available budget is given in the Data Sheet, and the Financial Proposal shall not exceed this budget, while the estimated number of Professional staff-months shall not be disclosed.

(c) Alternative professional staff shall not be proposed, and only one curriculum vitae (CV) may be submitted for each position.

Language

(d) Documents to be issued by the Consultants as part of this assignment must be in the language(s) specified in the Reference Paragraph 3.1 of the Data Sheet. If Reference Paragraph 3.1 indicates two languages, the language in which the proposal of the successful Consultant will be submitted shall govern for the purpose of interpretation. It is desirable that the firm's Personnel have a working knowledge of the Centre's national language.

Technical Proposal Format and Content

3.4 Consultants are required to submit a Simplified Technical Proposal (STP). Submission of the wrong type of Technical Proposal will result in the Proposal being deemed non-responsive. The Technical Proposal shall provide the information indicated in the following paragraphs from (a) to (f) using the attached Standard Forms (Section 3). Paragraph

- (b) (ii) indicates the recommended number of pages for the description of the approach, methodology and work plan of the STP. A page is considered to be one printed side of A4 or letter size paper.
- (a) (i) For the STP all comments and suggestions, if any, should be incorporated into the description of the approach and methodology (refer to following subparagraph 3.4 (b) (ii)).
- (b) (i) For the FTP, and STP: a description of the approach, methodology and work plan for performing the assignment covering the following subjects: technical approach and methodology, work plan, and organization and staffing schedule. Guidance on the content of this section of the Technical Proposals is provided under Form TECH-4 of Section 3. The work plan should be consistent with the Work Schedule (Form TECH-8 of Section 3) which will show in the form of a bar chart the timing proposed for each activity.
 - (ii) For the STP only: the description of the approach, methodology and work plan should normally consist of 10 pages, including charts, diagrams, and comments and suggestions, if any, on Terms of Reference and counterpart staff and facilities.
- (c) The list of the proposed Professional staff team by area of expertise, the position that would be assigned to each staff team member, and their tasks (Form TECH-5 of Section 3).
- (d) Estimates of the staff input (staff-months of foreign and local professionals) needed to carry out the assignment (Form TECH-7 of Section 3). The staff-months input should be indicated separately for home office and field activities, and for foreign and local Professional staff.
- (e) CVs of the Professional staff signed by the staff themselves or by the authorized representative of the Professional Staff (Form TECH-6 of Section 3).
- (f) For the FTP only: a detailed description of the proposed methodology and staffing for training, if the Data Sheet specifies training as a specific component of the assignment.

3.5 The Technical Proposal shall not include any financial information. A Technical Proposal containing financial information may be declared non responsive.

Financial Proposals

3.6 The Financial Proposal shall be prepared using the attached Standard Forms (Section 4). It shall list all costs associated with the assignment, including (a) remuneration for staff (foreign and local, in the field and at the Consultants' home office), and (b) reimbursable expenses indicated in the Data Sheet. If appropriate, these costs should be broken down by activity and, if appropriate, into foreign and local expenditures. All activities and items described in the Technical Proposal must be priced separately; activities and items described in the Technical Proposal but not priced, shall be assumed to be included in the prices of other activities or items.

Taxes

- 3.7 The Consultant may be subject to local taxes (such as: value added or sales tax, social charges or income taxes on non resident Foreign Personnel, duties, fees, levies) on amounts payable by the Centre under the Contract. The Centre will state in the Data Sheet if the Consultant is subject to payment of any local taxes. Any such amounts shall not be included in the Financial Proposal as they will not be evaluated, but they will be discussed at contract negotiations, and applicable amounts will be included in the Contract.
- 3.8 Consultants may express the price of their services in a maximum of three freely convertible currencies, singly or in combination. The Centre may require Consultants to state the portion of their price representing local cost in the national currency if so indicated in the Data Sheet.
- 3.9 Commissions and gratuities, if any, paid or to be paid by Consultants and related to the assignment will be listed in the Financial Proposal Form FIN-1 of Section 4.

4. Submission, Receipt, and Opening of Proposals

- 4.1 The original proposal (Technical Proposal and, if required, Financial Proposal; see paragraph 1.2) shall contain no interlineations or overwriting, except as necessary to correct errors made by the Consultants themselves. The person who signed the proposal must initial such corrections. Submission letters for both Technical and Financial Proposals should respectively be in the format of TECH-1 of Section 3, and FIN-1 of Section 4.
- 4.2 An authorized representative of the Consultants shall initial all pages of the original Technical and Financial Proposals. The

authorization shall be in the form of a written power of attorney accompanying the Proposal or in any other form demonstrating that the representative has been dully authorized to sign. The signed Technical and Financial Proposals shall be marked "ORIGINAL".

- 4.3 The Technical Proposal shall be marked "ORIGINAL" or "COPY" as appropriate. The Technical Proposals shall be sent to the addresses referred to in para. 4.5 and in the number of copies indicated in the Data Sheet. All required copies of the Technical Proposal are to be made from the original. If there are discrepancies between the original and the copies of the Technical Proposal, the original governs.
- 4.4 The original and all copies of the Technical AND Financial Proposal shall be placed in a sealed envelope clearly marked "TECHNICAL PROPOSAL" Similarly, the original Financial Proposal (if required under the selection method indicated in the Data Sheet) shall be placed in a sealed envelope clearly marked "FINANCIAL PROPOSAL" followed by the number and the name of the assignment, and with a warning "Do Not OPEN WITH THE TECHNICAL PROPOSAL." The envelopes containing the Technical and Financial Proposals shall be placed into an outer envelope and sealed. This outer envelope shall bear the submission address, reference number and title of the Loan, and be clearly marked "Do NOT OPEN, EXCEPT IN PRESENCE OF THE OFFICIAL APPOINTED, BEFORE the date specified in the Instruction to Consultants. The Centre shall not be responsible for misplacement, losing or premature opening if the outer envelope is not sealed and/or marked as stipulated. This circumstance may be case for Proposal rejection. If the Financial Proposal is not submitted in a separate sealed envelope duly marked as indicated above, this will constitute grounds for declaring the Proposal nonresponsive.
- 4.5 The Proposals must be sent to the address/addresses indicated in the Data Sheet and received by the Centre no later than the time and the date indicated in the Data Sheet, or any extension to this date in accordance with paragraph 2.2. Any proposal received by the Centre after the deadline for submission shall be returned unopened.
- 4.6 The Centre shall open the Technical Proposal immediately after the deadline for their submission. The envelopes with the Financial Proposal shall remain sealed and securely stored.

5. Proposal Evaluation

5.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultants should not contact the Centre on any matter related to its Technical and/or Financial Proposal. Any effort by Consultants to influence the Centre in the examination, evaluation, ranking of Proposals, and recommendation for award of Contract may result in the rejection of the Consultants' Proposal.

Evaluators of Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded.

Evaluation of Technical Proposals

5.2

The evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet. Each responsive Proposal will be given a technical score (St). A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP, and particularly the Terms of Reference or if it fails to achieve the minimum technical score indicated in the Data Sheet.

Public Opening and Evaluation of Financial Proposals (Least Cost Selection LCS)

- 5.3 After the technical evaluation is completed the Centre shall inform the Consultants who have submitted proposals the technical scores obtained by their Technical Proposals, and shall notify those Consultants whose Proposals did not meet the minimum qualifying mark or were considered non responsive to the RFP and TOR, that their Financial Proposals will be returned unopened after completing the selection process. The Centre shall simultaneously notify in writing Consultants that have secured the minimum qualifying mark, the date, time and location for opening the Financial Proposals. The opening date should allow Consultants sufficient time to make arrangements for attending the opening. Consultants' attendance at the opening of Financial Proposals is optional.
- 5.4 Financial Proposals shall be opened publicly in the presence of the Consultants' representatives who choose to attend. The names of the Consultants, and the technical scores of the Consultants shall be read aloud. The Financial Proposal of the Consultants who met the minimum qualifying mark will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded. Copy of the record shall be sent to all Consultants and the Bank.
- 5.5 The Evaluation Committee will correct any computational errors. When correcting computational errors, in case of discrepancy between a partial amount and the total amount, or between word and figures the formers will prevail. In addition to the above corrections, as indicated under paragraph 3.6, activities and items described in the Technical Proposal but not priced, shall be assumed to be included in the prices of other activities or items. In case an activity or line item is quantified in the Financial Proposal differently from the Technical Proposal, (i) if the Time-Based form of contract has been included in the RFP, the Evaluation Committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity and correct the total Proposal cost, (ii) if the Lump-Sum form of contract has been included in the RFP, no corrections are applied to the Financial Proposal in this respect. Prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the Data Sheet.
- 5.6 In the case of Least-Cost Selection (LCS), the Client will select the Consultant with the lowest evaluated total price among

those consultants that achieved the minimum technical score, and invite such Consultant to negotiate the Contract.

6. Negotiations

6.1

Negotiations will be held at the date and address indicated in the Data Sheet. The invited Consultant will, as a pre-requisite for attendance at the negotiations, confirm availability of all Professional staff. Failure in satisfying such requirements may result in the Centre proceeding to negotiate with the next-ranked Consultant. Representatives conducting negotiations on behalf of the Consultant must have written authority to negotiate and conclude a Contract.

Technical negotiations

Proposal, the proposed technical approach and methodology, work plan, and organization and staffing, and any suggestions made by the Consultant to improve the Terms of Reference. The Centre and the Consultants will finalize the Terms of Reference, staffing schedule, work schedule, logistics, and reporting. These documents will then be incorporated in the Contract as "Description of Services". Special attention will be paid to clearly defining the inputs and facilities required from the Centre to ensure satisfactory implementation of the assignment. The Centre shall prepare minutes of negotiations which will be signed by the Centre and the Consultant.

Financial negotiations

6.3 If applicable, it is the responsibility of the Consultant, before starting financial negotiations, to contact the local tax authorities to determine the local tax amount to be paid by the Consultant under the Contract. The financial negotiations will include a clarification (if any) of the firm's tax liability in the Centre's country, and the manner in which it will be reflected in the Contract; and will reflect the agreed technical modifications in the cost of the services. In the cases of OCBS, Fixed-Budget Selection, and the Least-Cost Selection methods, unless there are exceptional reasons, the financial negotiations will involve neither the remuneration rates for staff nor other proposed unit rates. For other methods, Consultants will provide the Centre with the information on remuneration rates described in the Appendix attached to Section 4 - Financial Proposal - Standard Forms of this RFP.

Availability of Professional staff/experts

6.4

Having selected the Consultant on the basis of, among other things, an evaluation of proposed Professional staff, the Centre expects to negotiate a Contract on the basis of the Professional staff named in the Proposal. Before contract negotiations, the Centre will require assurances that the Professional staff will be actually available. The Centre will not consider substitutions

during contract negotiations unless both parties agree that undue delay in the selection process makes such substitution unavoidable or for reasons such as death or medical incapacity. If this is not the case and if it is established that Professional staff were offered in the proposal without confirming their availability, the Consultant may be disqualified. Any proposed substitute shall have equivalent or better qualifications and experience than the original candidate and be submitted by the Consultant within the period of time specified in the letter of invitation to negotiate.

Conclusion of the negotiations

6.5

Negotiations will conclude with a review of the draft Contract. To complete negotiations the Centre and the Consultant will initial the agreed Contract. If negotiations fail, the Centre will invite the Consultant whose Proposal received the second highest score to negotiate a Contract.

7. Award of Contract

- 7.1 After completing negotiations the Centre shall award the Contract to the selected Consultant, and promptly notify all Consultants who have submitted proposals. After Contract signature, the Centre shall return the unopened Financial Proposals to the unsuccessful Consultants.
- 7.2 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.

8. Confidentiality

8.1 Information relating to evaluation of Proposals and recommendations concerning awards shall not be disclosed to the Consultants who submitted the Proposals or to other persons not officially concerned with the process, until the publication of the award of Contract. The undue use by any Consultant of confidential information related to the process may result in the rejection of its Proposal.

Instructions to Consultants

Data Sheet

Paragraph Reference	Instructions
1.1	Name of the Client: Caribbean Community Climate Change Centre (CCCCC) 2 nd Floor, L. Nicholas Building, North Ring Road, Belmopan City, Belize
	Method of selection: Quality-Based Selection (QBS)
1.2	Consultant is required to submit a Technical AND Financial Proposal
	Name of the assignment is: "Consultancy Firm for Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund"
1.3	A pre-proposal conference will be held: No
	For inquiries the Centre's representative is: Allison Williams, Procurement Officer
	Caribbean Community Climate Change Centre (CCCCC) 2 nd Floor, L. Nicholas Building, North Ring Road, Belmopan City, Belize Email: procurement@caribbeanclimate.bz Telephone: (501) 822-1104/1109 Facsimile: (501) 822-1385
1.4	The Centre will provide the following inputs and facilities: Nil
1.5	The Centre is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the consultant
1.6	Conflict of Interest -The Centre's policy requires that Consultants provide professional, objective, and impartial advice and at all times hold the Centre's interests paramount, strictly avoid conflicts with other assignments or their own corporate interests and act without any consideration for future work.
1.6.1 (iii)	Conflicting relationship - A Consultant (including its Personnel and Sub-Consultants) that has a business or family relationship with a member of the Centre's staff who is directly or indirectly involved in any part of (i) the preparation of the Terms of Reference of the assignment, (ii) the selection process for such assignment,

	or (iii) supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Centre throughout the selection process and the execution of the Contract.		
1.12	Proposals must remain valid 90 days after the submission date, i.e. until: 11 th December 2019		
2.1	Clarifications may be requested no later than 10 days before the submission date at the following address: Email: procurement@caribbeanclimate.bz Caribbean Community Climate Change Centre (CCCCC) 2 nd Floor, L. Nicholas Building, North Ring Road, Belmopan City, Belize Telephone: (501) 822-1104/1109 Facsimile: (501) 822-1385		
3.1	Proposals shall be submitted in the following language: English		
3.3 (b)	Total amount available for this activity: US\$47,500 (exclusive of reimbursable expenses and cost of meetings)		
3.4	The format of the Technical Proposal to be submitted is: Full Technical Proposal (FTP) For FULL TECHNICAL PROPOSAL (FTP): (1) Power of Attorney to sign the Proposal (2) TECH-1 (3) TECH-2 (4) TECH-3 (5) TECH-4 (6) TECH-5 (7) TECH-6 (8) TECH-7 (9) TECH-8 Methodology proposed must be based on a clear rationale for the approach.		
3.6	The Financial Proposal shall be prepared using the Standard Forms (Section 4). (1) FIN-1 (2) FIN-2 (3) FIN-3 (4) FIN-5		

	In order to demonstrate competitive fee rates and expenses in relation to the market and to demonstrate Value for Money all costs associated with the assignment, including fees and expenses where appropriate shall be broken down by activity. All activities and items described in the Technical Proposal must be priced separately; activities and items described in the Technical Proposal but not priced, shall be assumed to be included in the prices of other activities or items. Consultants will be accountable for all additional expenses.	
3.7	Amounts payable by the Centre to the Consultant under the contract to be subject to local taxation: No (a) The Consultant is responsible for paying his/her own taxes .	
3.8	Consultant to state all cost in US currency: Yes	
4.0	Submission will be done electronically and must be clearly identified a "Recruitment of Consultancy Firm for Capacity Building of National Designate Authority and Support for the Accreditation of the Social Investment Fund".	
	Technical Proposal and Financial Proposal must be submitted as two (2) separate PDF files to the address below on or before 2:00pm (GMT-6), Wednesday 9 th October 2019	
	Email: <u>procurement@caribbeanclimate.bz</u> Caribbean Community Climate Change Centre (CCCCC) 2 nd Floor, L. Nicholas Building, North Ring Road, Belmopan City, Belize	

5.2	Evaluation of Proposals : Criteria, sub-criteria, and point system for the evaluation of the Technical Proposals and Financial Proposal are:				
	#	Description	Weighting		
	Main	(Technical)criteria (70 marks total)			
	A	Consultants of the selected firm should possess at least advanced degree (Master's Degree or equivalent) in either, but not limited to, Economics, Finance, International Development/Relations, Environmental Science, Social Science or related fields from recognized and reputable institutions.	10		
	В	Be a reputable firm with at least 3 years of proven experience related to climate change development, finance and development of national plans and strategies	15		
	С	Knowledgeable of activities, actions, projects and programs related to the GCF	5		
	D	Demonstrated engagement with Country Strategic Frameworks, and/or related document development	10		
	Е	In depth understanding of climate finance issues, at the international and regional scales, with knowledge of the current climate finance architecture of the Green Climate Fund	5		
	F	Experience in institutional and skill-based capacity development	10		
	G	Experience working with a cross-section of stakeholders, including senior government officials in small and vulnerable states (SIDS and LDCs), donor governments and organizations, as well as regional organizations	10		
	Н	The professional expertise and background of the consultant firm should cover the requirements of tasks defined in this TOR	5		
	Comn	nercial criteria (30 marks total)			
	G	Competitive fee rates and expenses in relation to the market and demonstration of Value for Money.	15		
	Н	Clear and effective financial plan to deliver output based deliverables and key performance measures	5		
	I	Financial approach and methodology for ensuring the requirements will be delivered on time and in line with agreed costs, highlighting any financial risks.	10		
		Total	100		
	Required Minimum Technical Score 60 points				
5.7	The fo	ormula for determining the financial scores is the following: N/A			
6.1	_	ted date and address for contract negotiations: To be determined aftermation of availability of professional staff	er		
7.2	Expec	ted date for commencement of consulting services: October 2019			

SECTION 3. Technical Proposal - Standard Forms

Refer to Reference Paragraph 3.4 of the Data Sheet for format of Technical Proposal to be submitted, and paragraph 3.4 of Section 2 of the RFP for Standard Forms required and number of pages recommended.

Checklist of Required Forms

Required for FTP or STP (V)		FORM	DESCRIPTION	Page Limit
FTP	STP			
V	٧	TECH-1	Technical Proposal Submission Form.	
"√	" If	TECH-1	If the Proposal is submitted by a joint venture, attach a	
appli		Attachment	letter of intent or a copy of an existing agreement.	
"√'	' If	Power of	No pre-set format/form. In the case of a Joint Venture,	
appli	cable	Attorney	several are required: a power of attorney for the	
			authorized representative of each JV member, and a	
			power of attorney for the representative of the lead	
			member to represent all JV members	
<mark>√</mark>		TECH-2	Consultant's Organization and Experience.	
<mark>√</mark>		TECH-2A	A. Consultant's Organization	
V		TECH-2B	B. Consultant's Experience	
<mark>√</mark>		TECH-3	Comments or Suggestions on the Terms of Reference and	
			on Counterpart Staff and Facilities to be provided by the	
			Centre.	
√ -		TECH-3A	A. On the Terms of Reference	
V		TECH-3B	B. On the Counterpart Staff and Facilities	
V	٧	TECH-4	Description of the Approach, Methodology, and Work	
_			Plan for Performing the Assignment	
		TECH-5	Team Composition and Task Assignment	
V V TECH-6		TECH-6	Team Composition, Key Experts Inputs, and attached	
			Curriculum Vitae (CV)	
<mark>۷</mark>		TECH-7	Staffing Schedule	
V		TECH - 8	Work schedule	

All pages of the original Technical and Financial Proposal shall be initialled by the same authorized representative of the Consultant who signs the Proposal.

Form TECH-1 Technical Proposal Submission Form

Belize, Central American 7th August 2017

To: Caribbean Community Climate Change Centre (CCCCC) 2^{nd} Floor, L. Nicholas Building, North Ring Road, Belmopan City, Belize

Dear Sirs:

We, the undersigned, offer to provide the consulting services for the "Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund, Belize." in accordance with your Request for Proposal dated 7th August 2017 and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial Proposal sealed under a separate envelope¹.

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

{OR

If the Consultant's Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Centre.
- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 1.12.
- (c) We have no conflict of interest in accordance with ITC 1.6 and 1.61 (i-iii).
- (d) We meet the eligibility requirements as stated in ITC 6, and we confirm our understanding of our obligation to abide by the Centres' policy in regard to corrupt and fraudulent practices as per ITC 1.7.

(e) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 7.2 of the Data Sheet.

We understand that the Centre is not bound to accept any Proposal that the Centre receives.

We remain,		
Yours sincerely,		
Authorized Signature {In full and initials}:		
Name and Title of Signatory:		
Name of Consultant (company's name or JV's name):		
In the capacity of:		
Address:		
Contact information (phone and e-mail):		

{For a joint venture, either all members shall sign or only the lead member, in which

case the power of attorney to sign on behalf of all members shall be attached}

^{1 [}In case Paragraph Reference 1.2 of the Data Sheet requires to submit a Technical Proposal only, replace this sentence with: "We are hereby submitting our Proposal, which includes this Technical Proposal only."]

^{2 [}Delete in case no association is foreseen.]

Form TECH-2 Consultant's Organization and Experience

A - Consultant's Organization

[Provide here a brief (two pages) description of the background and organization of your firm/entity and each associate for this assignment.]

B - Consultant's Experience

[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use 20 pages.]

Assignment name:	Approx. value of the contract (in current US\$ or Euro):
Country: Location within country:	Duration of assignment (months):
Name of Centre:	Total № of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in current US\$ or £):
Start date (month/year): Completion date (month/year):	Nº of professional staff-months provided by associated Consultants:
Name of associated Consultants, if any:	Name of senior professional staff of your firm involved and functions performed (indicate most significant profiles such as Project Director/Coordinator, Team Leader):
Narrative description of Project:	
Description of actual services provided by your stat	ff within the assignment:

Firm's Name:

Form TECH-3 Comments and Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be Provided by the Centre

A - On the Terms of Reference

[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]

Form TECH-4 Description of Approach, Methodology and Work Plan for Performing the Assignment

Form TECH-5 Team Composition and Task Assignments

Professional Staff				
Name of Staff	Firm	Area of Expertise	Position Assigned	Task Assigned

Form TECH-6 - Curriculum Vitae (CV) for Proposed Professional Staff

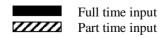
1.	Proposed Position [only one candidate shall be nominated for each position]:			
2.	Name of Firm [Insert name of firm proposing the staff]: Name of Staff [Insert full name]:			
3.				
4.	Date of Birth:Nationality:			
5.	Education [Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]:			
6.	Membership of Professional Associations:			
7.	Other Training [Indicate significant training since degrees under 5 - Education were obtained]:			
8.	Countries of Work Experience: [List countries where staff has worked in the last ten years]:			
9.	Languages [For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]:			
10.	Employment Record [Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.]:			
Fro	om [Year]: To [Year]:			
Em	nployer:			
Pos	sitions held:			

11. Detailed Tasks Assigned	12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned
[List all tasks to be performed under this assignment]	[Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.]
	Name of assignment or project:
	Year:
	Location:
	Centre:
	Main project features:
	Positions held:
	Activities performed:
13. Certification:	
describes myself, my qualifie	t to the best of my knowledge and belief, this CV correctly cations, and my experience. I understand that any wilfu may lead to my disqualification or dismissal, if engaged.
	Date:
[Signature of staff member or author	ized representative of the staff] Day/Month/Year
Full name of authorized representative	7e:

Form TECH-7 Staffing Schedule¹

NIO	NI CCI CC		Staff input (in the form of a bar chart)2											Total st	aff-mont	h input	
N°	Name of Staff	1	2	3	4	5	6	7	8	9	10	11	12	n	Home	Field ³	Total
Forei	gn																
1		[Home]			ļ												
		[Field]															
2					<u> </u>										-		
3					ļ 									+			
												<u> </u>		·			
N															-		
											Subto	tal					
Local											I.						
		[Home]															
1		[Field]															
2					<u> </u>												
					+							1		· 			
N															-		
											Subto	 tal					
											Total	****					

- 1 For Professional Staff the input should be indicated individually; for Support Staff it should be indicated by category (e.g.: draftsmen, clerical staff, etc.).
- 2 Months are counted from the start of the assignment. For each staff indicate separately staff input for home and field work.
- 3 Field work means work carried out at a place other than the Consultant's home office.



Form TECH-8 Work Schedule

NIO	Activity ¹							Months ²	2					
N°	Activity	1	2	3	4	5	6	7	8	9	10	11	12	n
1														
2														
3														
4														
5														
N														

Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as Centre approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase.

² Duration of activities shall be indicated in the form of a bar chart.

SECTION 4. Financial Proposal - Standard Forms

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided under para. 3.6 of Section 2. Such Forms are to be used whichever is the selection method indicated in para. 4 of the Letter of Invitation.

[The Appendix "Financial Negotiations - Breakdown of Remuneration Rates" is to be only used for financial negotiations when Quality-Based Selection, Selection Based on Qualifications, or Single-Source Selection method is adopted, according to the indications provided under para. 6.3 of Section 2.]

FIN-1	Financial Proposal Submission Form
FIN-2	Summary of Costs
FIN-3	Breakdown of Costs by Activity
FIN-4	Breakdown of Remuneration
FIN-5	Reimbursable expenses

Form FIN-1 Financial Proposal Submission Form

Rolizo Contral American

				Beitze, C	7 th August 2017
То:		nity Climate Change Ce as Building, North Ring ize			
Dear	Sirs:				
Nation Fund Technology Word Our F Contribute in the contribute in	onal Designated Author, Belize" in accordance in accordance in a proposal. Of s and figures 1]. Financial Proposal shall ract negotiations, up to andicated in Paragraph	or to provide the construct and Support for the with your Request and attached Finance. If the binding upon us so expiration of the validation of the validation of the validation of the spaid or to be paid by	the Accreditate for Proposal data in Proposal abject to the modity period of the Data Sheet.	is for Insert Insert	the sum of amount(s) in esulting from i.e. before the
		re awarded the Contrac			Toposar and
N	ame and Address of Agents	Amount and Currency		Purpose of Con or Gra	
	We understand you We remain,	are not bound to accep	t any Proposal y	you receive.	

Yours sincerely,

Address:

Authorized Signature [In full and initials]: Name and Title of Signatory: Name of Firm:

Amounts must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.

If applicable, replace this paragraph with: "No commissions or gratuities have been or are to paid by us to agents relating to this Proposal and Contract execution."

Form FIN-2 Summary of Costs

Item	[US Currency] ¹	[Pound Sterling (£)] ¹
Total Costs of Financial Proposal ²		

- 1 Indicate between brackets the name of the foreign currency. Maximum of three currencies; use as many columns as needed, and delete the others.
- 2 Indicate the total costs, net of local taxes, to be paid by the Centre in US Currency. Such total costs must coincide with the sum of the relevant Subtotals indicated in all Forms FIN-3 provided with the Proposal.

Form FIN-3 Breakdown of Costs by Activity¹

Group of Activities (Phase): ²	Description: ³	
	Co	osts
Cost component	[US Currency]	[Pound Sterling (£)]
Remuneration ⁵		
Expenses ⁵		
Subtotals		

¹ Form FIN-3 shall be filled at least for the whole assignment. The Consultant shall fill a separate Form FIN-3 for each group of activities. Subtotals of all Forms FIN-3 provided must coincide with the Total Costs of Financial Proposal indicated in Form FIN-2.

- Names of activities (phase) should be the same as, or correspond to the ones indicated in the second column of Form TECH-8.
- 3 Short description of the activities whose cost breakdown is provided in this Form.
- 4 Indicate between brackets the name of the foreign currency. Use the same columns and currencies of Form FIN-2.
- For each currency, Remuneration and Expenses must respectively coincide with relevant Total Costs indicated in Forms FIN-4, and FIN-5.

FORM FIN-4 Breakdown of Remuneration¹

(This Form FIN-4 shall only be used when the Lump-Sum Form of Contract has been included in the RFP. Information to be provided in this Form shall be used to establish Value for Money and payments to the Consultant for possible additional services requested by the Centre)

Name ²	Position ³	Staff-month Rate ⁴
Foreign Staff	-	•
		[Home] [Field]
Local Staff		
		[Home] [Field]

- 1 Form FIN-4 shall be filled in for the same Professional and Support Staff listed in Form TECH-7.
- 2 Professional Staff should be indicated individually; Support Staff should be indicated per category (e.g.: draftsmen, clerical staff).
- 3 Positions of the Professional Staff shall coincide with the ones indicated in Form TECH-5.
- 4 Indicate separately staff-month rate and currency for home and field work.

Form FIN-5 Breakdown of Expenses¹

(This Form FIN-5 shall only be used when the Lump-Sum Form of Contract has been included in the RFP. Information to be provided in this Form shall be used to establish Value for Money and payments to the Consultant for possible additional services requested by the Centre)

N°	Description ²	Unit	Unit Cost ³	Quantity	[US Currency] ⁴	[Pound Sterling $(£)$] ⁴
	Per diem allowances	Day				
	International flights ⁵	Trip				
	Other transportation (taxi, water taxi etc)	Trip				
	Communication costs between [Insert place] and [Insert place]					
	Drafting, reproduction of reports					
	Equipment, instruments, materials, supplies, etc.					
		Γotal Costs				

- 1 Form FIN-5 should be filled for each of the Forms FIN-3 provided, if needed.
- 2 Delete items that are not applicable or add other items according to Paragraph Reference 3.6 of the Data Sheet.
- 3 Indicate unit cost and currency.
- 4 Indicate between brackets the name of the foreign currency. Use the same columns and currencies of Form FIN-2. Cost = Unit Cost x Quantity.
- 5 Indicate route of each flight, and if the trip is one- or two-ways.

Sample Form

	nsulting Firm: signment:	Country: Date:
	apacity Building of National Designated Authori the Social Investment Fund, Belize".	ty and Support for the Accreditation
	Consultant's Representations Regard	ling Costs and Charges
We h	hereby confirm that:	
(a)	the basic salaries indicated in the attached table and reflect the current salaries of the staff mer other than within the normal annual salary inc staff;	nbers listed which have not been raised
(b)	attached are true copies of the latest salary slips of	of the staff members listed;
(c)	the away from headquarters allowances indicat have agreed to pay for this assignment to the staf	
(d)	the factors listed in the attached table for social firm's average cost experiences for the latest t financial statements; and	
(e)	said factors for overhead and social charges do n profit-sharing.	ot include any bonuses or other means of
[Name	me of Consulting Firm]	
Signa	nature of Authorized Representative	Date
Nam	me:	
Title	le:	

Consultant's Representations Regarding Costs and Charges

(Expressed in US Currency)

Personnel		1	2	3	4	5	6	7	8
Name	Position	Basic Salary per Working Month/Day/Year	Social Charges ¹	Overhead ¹	Subtotal	Fee ²	Away from Headquarters Allowance	Proposed Fixed Rate per Working Month/Day/Hour	Proposed Fixed Rate per Working Month/Day/Hour ¹
Home	Office								
Fie	eld								

Expressed as percentage of 1
 Expressed as percentage of 4

SECTION 5: TERMS OF REFERENCE

GCF Readiness - Building Capacity for Direct Access to Climate Finance (Readiness II)

Recruitment of Consultancy Firm for Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund, Belize

MINISTRY OF ECONOMIC DEVELOPMENT AND PETROLEUM

SEPTEMBER 2019

Terms of Reference

Country:	Belize
Donor:	Green Climate Fund (GCF)
Project Title:	GCF Readiness - Building Capacity for Direct Access to
	Climate Finance (Readiness II)
Contract Title:	Recruitment of Consultancy Firm for Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund.
Contract #:	Contract# 74/2019/GCF/Belize/CCCCC
Type of Contract:	Fixed Price-Professional Services: Companies/Firm
Estimated Start Date:	October 2019
Contract Duration	12 Months
Main Duty Station:	Belize
Deadline for Submission of	on or before 2:00pm (GMT-6), Wednesday 9th October 2019
FTP:	

1. BACKGROUND

The Green Climate Fund (GCF) is a multilateral financing mechanism established to support climate action in the Caribbean and by extension in developing countries. The Green Climate Fund (GCF), in fulfilling its mandate, responds to climate change through strategic investments actions that will result in low-emission and climate resilient development. These strategic investments will limit or reduce greenhouse gas (GHG) emission in developing countries, and help vulnerable societies adapt to the unavoidable impacts of climate change. The GCF has made available several windows for financing and technical support for a programmatic approach to engage the GCF for the implementation of climate actions.

The National Designated Authority (NDA) of Belize to the GCF, which is the Ministry of Economic Development and Petroleum (MEDP), applied to the GCF for Readiness and Preparatory Support. This Readiness and Preparatory Support Project is the second for Belize, titled, "Building Capacity for Direct Access to Climate Finance (Readiness II)" and complements the previously approved and implemented Readiness and Preparatory Funding Support project received by Belize from the GCF. Both projects support efforts to strengthen the NDA's coordinating mechanism including the non-objection procedure and associated platforms. Building on the previous readiness project, Readiness II also seeks to strengthen the country's capacity to access, manage, disburse and monitor financing for the purpose of fulfilling the country programme and build Belize's climate resilience, including enhancing the capacity of national entities to access climate finance via the GCF. Furthermore, this project will contribute towards fulfilling Belize's climate change (adaptation and mitigation) goals and commitments as outlined in its National Determined Contribution (NDC), the National Climate Change Policy, Strategy and Action Plan

(NCCPSAP), the National Adaptation Strategy (Agriculture sector) and the Low Carbon Development Roadmap (LCD) and Growth and Sustainable Development Strategy (GSDS) by strengthening the capacities of the NDA, BSIF and PACT. Actions under this project are expected to reduce Belize's level of vulnerability and enhance the country's adaptive capacity by making advancements both directly or indirectly on the areas of capacity building, financial resources, data, knowledge and awareness, and climate change finance mobility.

The Caribbean Community Climate Change Centre (CCCCC), which has the mandate to coordinate the Caribbean Region's response to climate change and is accredited to the GCF, is the delivery partner for Readiness II. In collaboration with the NDA of Belize, the CCCCC will execute the activities as set out in the Readiness Proposal approved by the GCF. CCCCC will be responsible for the overall execution of the project and for the timely achievement of project results set out in the proposal and guidance by the terms set out in the CCCCC's Framework Agreement with the GCF. This includes the recruitment and selection of consultants, provide financial and technical oversight services for the outcomes and outputs, project monitoring, reporting and evaluation. CCCCC also has the responsibility for fiduciary management and delivery of the readiness funding, and supports the NDA to implement the project, and identify, recruit and manage technical experts required for the implementation of this Readiness Project.

In this context, CCCCC is looking for a Consultancy Firm, to work in collaboration with the NDA to implement this GCF Project. In accordance with GCF priorities, this consultancy is scheduled to fulfil deliverables for 2 project outcomes and their respective sub-outcomes. The consultancy will be responsible to contribute towards achieving Outcome 1, *Institutional Capacity and coordination mechanism strengthened to govern and coordinate climate finance*, and Sub-Outcome 1.2, *Monitoring and verification systems for climate finance flows*. Furthermore, it is expected that this consultancy will contribute towards accomplishing Outcome 2, *Country programming process*, Sub-Outcome 2.1, *Stakeholder engaged in a consultative process*, and Sub-Outcome 2.4, *Gender issues considered in climate finance programming*. The Consultancy Firm will work closely with the CCCCC Project Manager, the NDA, national institutions and other relevant stakeholders to fulfil the requirements of this consultancy.

2. SPECIFIC OBJECTIVE

This consultancy seeks to contribute towards strengthening the NDA's institutional capacity and coordinating mechanism, enhance the country programming process and increase direct access to climate finance for Belize. The objective of this consultancy is to provide support to the NDA by accomplishing Outcome 1 and Sub-Outcome 1.2, and Outcome 2 and Sub-Outcome 2.1 & 2.4 of the Readiness Project, titled "Building Capacity for Direct Access to Climate Finance (Readiness II)".

3. SCOPE OF WORK

The Consulting Firm will execute several activities that contribute towards enhancing Belize's capacity to effectively mobilize, manage and monitor climate finance in an effort to achieve the goals and objectives outlined in national strategies and actions plans. Specific activities include: Capacity building of sub-committee members on GCF project requirements, a standardized process of updating Belize's Country Programme and an updated Country Programme, Gender assessment and gender capacity building to inform the development of GCF proposals, a GCF Entity Work Programme and Stakeholder Mobilization Action Plan for PACT. In carrying out this assignment, the Consulting Firm is required to employ a collaborative approach and close engagement with National Authorities, Stakeholders and their focal points on Climate Change in ensuring that the deliverables are consistent with National Standards and National Protocols.

The Consulting Firm will:

- Produce an Inception Report that includes a detailed work plan for the execution of the assignment
- Deliver two (2) 2-day training to strengthen the capacity of the Finance subcommittees under the Belize National Climate Change Committee (BNCCC) to review projects submitted to the office of the NDA.
- Deliver Two (2) training to national structured dialogues between NDA, nominated entities, the GCF Secretariat, private and public sector, stakeholders and NGOs to identifying project ideas for various sectors and align national strategies, work programmes and national priorities with the country programme to develop a standardize process for the review of the country programme and to update the country programme.
- Conduct a gender assessment (based on the "guideline for gender equality" developed under the previous Readiness project) to effectively integrate gender criteria and indicators to inform the development and prioritization of GCF proposals to be developed by Government departments, private sector, Non-Governmental Organizations and Community-Based Organizations.
- Deliver one (1) training to incorporate gender considerations into project development.

4. DELIVERABLES

- 1. Inception report and detailed work plan.
- 2. Two (2) 2-day training sessions (inclusive of workshop materials and report) to build the capacity of sub-committee members on GCF project requirements (i.e., climate rational, investment criteria, theory of change, paradigm shift etc.,) and other project review parameters.

- 3. Two (2) national structured dialogue events (inclusive of workshop materials and report).
- 4. Standardise process for the review of the country programme developed.
- 5. Updated Country programme.
- 6. Gender assessment with an agreed gender criterion, indicators and review manual to inform the development and prioritisation of GCF proposals.
- 7. One (1) training session for representatives of selected organizations on incorporating gender consideration into project development.

5. REPORTING

The Consultancy Firm will report to the Project Development and Management Unit (PDMU), CCCCC and will work in close coordination and collaboration with the NDA and Project's National Coordinator.

6. LOCATION AND DURATION OF ASSIGNMENT

This project will be spread over a period of 12 months from October ___ 2019 to December ___ 2020. Time can be spread between home based and in Belize. Note at least 60% of the contracted days may be required in Belize.

7. MANAGEMENT ARRANGEMENT

The consultancy is commissioned by the CCCCC. The Consultancy Firm will report to CCCCC for contractual and administrative purposes. Members of the project core team from the Ministry of Economic Development and Petroleum (MEDP) will provide additional technical advice and inputs, overall coordination and oversight for this consultancy. The Consulting Firm will liaise with everyone from the project core team but will ultimately report to the CCCCC.

8. PAYMENT SCHEDULE

Payment arrangement can be stated in the following way: payment will be made in four (4) instalments as shown in table1: (i) 12% after contract signature and delivery of inception report and detailed work plan (deliverable 1); (ii) 26% at the completion of two (2) 2-day training sessions to build the capacity of sub-committee members on GCF project requirements (deliverable 2); (iii) 25% at the completion and of all the country programming deliverables (Deliverables 3-5); (iv) 37% at the completion and delivery of all gender deliverables (Deliverables 6 and 7). The table below shows the percentages which are to be paid for each of the deliverables. Travel and accommodation arrangements and associated costs will be the responsibility of the consultancy firm and reimbursable in accordance to Centre's travel policy.

Table 1: Allocation of the payment percentages (%) for each deliverable.

#	Deliverables	%
1	Inception report and detailed work plan	10
2	Two (2) 2-day workshop on GCF Project Requirements	25
3	Two (2) 1-day national structured dialogue events	
4	Procedure for reviewing Country Programme	
5	Updated Country Programme	<i>30</i>
6	Gender Assessment	
7	One (1) 1-day Gender workshop	35
	Total	100

9. QUALIFICATIONS AND EXPERIENCE

i. Academic Qualifications:

- The lead Consultants of the selected firm should possess at least an advanced degree (Master's Degree or equivalent) in either, but not limited to, Economics, Finance, International Development/Relations, Environmental Science, Social Science or related fields from recognised and reputable institutions.
- Be a reputable firm with at least 3 years of proven experience related to climate change development, finance and development of national plans and strategies;
- Knowledgeable of activities, actions, projects and programs related to the GCF.

ii. Professional Experience:

- Demonstrated engagement with Country Strategic Frameworks, and/or related document development;
- In depth understanding of climate finance issues, at the international and regional scales, with knowledge of the current climate finance architecture of the Green Climate Fund;
- Experience working with a cross-section of stakeholders, including senior government officials in small and vulnerable states (SIDS and LDCs), donor governments and organisations, as well as regional organizations;
- Experience in institutional and skill-based capacity development;
- The professional expertise and background of the consultant firm should cover the requirements of tasks defined in this TOR.

• The team must consist of at least one Belizean national.

10. LANGUAGE

• Excellent oral and written communication skills in English.

#	Description	Weighting			
Main (Technical)criteria (70 marks total)					
A	Consultants of the selected firm should possess at least advanced degree (Master's Degree or equivalent) in either, but not limited to, Economics, Finance, International Development/Relations, Environmental Science, Social Science or related fields from recognized and reputable institutions.	10			
В	Be a reputable firm with at least 3 years of proven experience related to climate change development, finance and development of national plans and strategies	15			
C	Knowledgeable of activities, actions, projects and programs related to the GCF	5			
D	Demonstrated engagement with Country Strategic Frameworks, and/or related document development	10			
Е	In depth understanding of climate finance issues, at the international and regional scales, with knowledge of the current climate finance architecture of the Green Climate Fund	5			
F	Experience in institutional and skill-based capacity development	10			
G	Experience working with a cross-section of stakeholders, including senior government officials in small and vulnerable states (SIDS and LDCs), donor governments and organizations, as well as regional organizations	10			
Н	The professional expertise and background of the consultant firm should cover the requirements of tasks defined in this TOR	5			
Commercial criteria (30 marks total)					
G	Competitive fee rates and expenses in relation to the market and demonstration of Value for Money.	15			
Н	Clear and effective financial plan to deliver output based deliverables and key performance measures	5			
I	Financial approach and methodology for ensuring the requirements will be delivered on time and in line with agreed costs, highlighting any financial risks.	10			
	Total	100			

11. APPLICATION PROCESS AND DEADLINE

Interested firms are required to submit the documents listed in the Request for Proposal (RFP), on or before the deadline. Each submission should include the name and address of the firm and shall be clearly identified as: "Recruitment of Consultancy Firm for

Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund, Belize".

Technical Proposal and Financial Proposal must be submitted as two (2) separate PDF files, via email to: procurement@caribbeanclimate.bz

The deadline for the submission of proposals is on or before

For queries regarding the Procurement documents and submission process, email:

awilliams@caribbeanclimate.bz

Attention: Ms. Allison Williams

Procurement Officer

Caribbean Community Climate Change Centre



SECTION 6: CONTRACT Contract# 74/2019/GCF/Belize/CCCCC

between Caribbean <i>Centre</i> ") having its	Commun principal	act") is entered into this, October 2019 by and ity Climate Change Centre (hereinafter referred to as "the place of business at 2 nd Floor, L. Nicholas Building, North Belize, and (hereinafter referred ag its principal office located at
	ect titled "	ceived financing from the Green Climate Fund (GCF), toward GCF Readiness - Building Capacity for Direct Access to s II), Belize" and
part of the proceeds	s towards ed Autho	ce of the objectives of the project the Centre intends to apply the contract "Consultancy Firm for Capacity Building of ority and Support for the Accreditation of the Social
WHEREAS, the Co	onsultant i	s willing and able to perform these services.
NOW THEREFOR	E THE PA	ARTIES hereby agree as follows:
1. Services	(i)	The Consultant shall perform the services specified in Annex A, "Terms of Reference and Scope of Services," which is made an integral part of this Contract ("the Services").
	(ii)	The Consultant shall provide the personnel listed in Annex B, "Consultant's Personnel," to perform the Services.
	(iii)	The Consultant shall submit to the Centre the reports in the form and within the time periods specified in Annex C, "Consultant's Reporting Obligations."
2. Term	(12) to the	Consultant shall perform the Services during the period of twelve months commencing, October 2019 and continuing through e of September 2020, or any other period as may be quently agreed by the parties in writing.
2 Dayweet	A.	Ceiling
3. Payment		For Services rendered pursuant to Annex A, the Centre shall pay the Consultant an amount not to exceed [US in words and numbers]. This amount includes [US in numbers] as

Professional fees inclusive of expenses necessary to carry out the work. This amount has been established based on the understanding that it includes all of the consultant's non-travel related costs and profits as well as any tax obligation that may be imposed on the consultant. In addition, travel related accountable expenses are estimated at [US in numbers].

B. Schedule of Payments

The schedule of payments is specified below:

10% valued at <u>USD\$</u> upon the Centre's acceptance of **Deliverable 1,** (an inception report and a work plan) and submission of an invoice in the same amount;

25% valued at <u>USD\$</u> upon the Centre's acceptance of **Deliverable 2**, (Two (2) 2-day workshop on GCF Project Requirements) and submission of an invoice in the same amount;

30% valued at <u>USD\$</u> upon the Centre's acceptance of **Deliverable 3**, 4 & 5 (Two (2) 1-day national structured dialogue events; Procedure for reviewing Country Programme and Updated Country Programme) and submission of an invoice in the same amount;

35% valued at <u>USD\$</u> upon the Centre's upon the Centre's acceptance of **Deliverable 6-7** (*Gender Assessment and One* (1) 1-day Gender workshop) and submission of an invoice in the same amount;

100% Total

C. Payment Conditions

Payment shall be made in **United States Dollars**, no later than 30 days following submission by the Consultant of invoices in duplicate to the Executive Director of the CCCCC.

5. Performance Standards

The Consultant undertakes to perform the Services with the highest standards of professional and ethical competence and integrity. The Consultant shall promptly replace any employees assigned under this Contract that the Centre considers unsatisfactory.

6. Liquidated Damages

If the consultant fails to complete any or all of the Services by the date(s) of completion or perform Related Services within the period specified in the Contract, the Centre may without prejudice to all its other remedies

under the Contract, deduct from the Contract Price, as liquidated damages, a sum equivalent to two percent (2%) of the contract price for each week or part thereof of delayed until actual delivery or performance, up to a maximum deduction of ten percent (10%) of the contract price. Once the maximum is reached, the Centre may terminate the Contract pursuant to Clause 12 of this contract.

7. Confidentiality

The Consultants shall not, during the term of this Contract and within two years after its expiration, disclose any proprietary or confidential information relating to the Services, this Contract or the Centre's business or operations without the prior written consent of the Centre.

8. Project Administration

Coordinator.

The Centre designates, Ministry of Economic Development and **Petroleum** (MEDP), as the Coordinator, for the contract, "Capacity Building of National Designated Authority and Support for the Accreditation of the Social Investment Fund". The Coordinator will be responsible for the coordination of activities under this Contract, for acceptance and approval of the reports and of other deliverables on behalf of the Centre and for receiving and approving invoices for the payment.

B. Reports.

The reports listed in Annex B, "Consultant's Reporting Obligations," shall be submitted in the course of the assignment, and will constitute the basis for the payments to be made under paragraph 3 – "Payment".

Key Experts

9. Replacement of Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts. Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

10. Ownership Material

of Any studies reports or other material, graphic, software or otherwise, prepared by the Consultant for the Centre under the Contract shall belong to and remain the property of the Centre. The Consultant may retain a copy of such documents and software however will not be allowed to disclose such information with express written approval of the Centre.

11. Consultant Not to be Engaged in Certain Activities

The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

12. Insurance

The Consultant will be responsible for taking out any appropriate insurance coverage.

13. Conflict of Interest

The Consultant:

- (a) Represents and warrants that he/she individually, or as a member of a firm, has not been previously contracted by the Centre to supply goods or execute works or provide services (other than the Services) for a project that has originated the Services or is closely related to them.
- (b) Agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.
- (c) Agrees that, during the term of this Contract not to enter into any other contract for the provision of services that, by its nature, may be in conflict with the Services assigned to the Consultant.
- (d) Represents and warrants that he/she does not have a business or family relationship with a member of the Centre's staff (or of the beneficiary or Borrower of a loan) who are directly or indirectly involved in any part of:
- (i) the preparation of the TOR of the Contract, (ii) the selection process for such Contract, or (iii) supervision of such Contract, unless the conflict stemming from this relationship has been resolved in an acceptable manner.

14. Assignment

The Consultant shall not assign this Contract or sub-contract any portion of it without the Centre's prior written consent.

15. Indemnity

The Consultant agrees to indemnify the Centre against any loss, damage or claims arising against the Centre as a result of the actions of the

Consultant, his/her/its employees or subcontractors engaged by the Consultant under the Contract

16.	Termination	of
	Contract	

Without constituting a breach of contract by either party, the present contract may be terminated for the following reasons: (a) agreement between both parties; and (b) force majeure that may disable the fulfilment of the obligations by of the parties, if written notice is sent fifteen days beforehand. In this event, the relationship will be settled and the Consultant paid for services rendered up to the date of submission of the written justification.

Language

17. Law Governing The Contract shall be governed by the laws of Belize, and the language **Contract** and of the Contract shall be in English

18. Dispute Resolution

Any dispute arising out of the Contract, which cannot be amicably settled between the parties, shall be referred to adjudication/arbitration in accordance with the laws of the Belize.

The parties hereto have caused this Agreement to be executed in accordance with the laws Of BELIZE on the day, month and year indicated above.

SIGNATURE:	SIGNATURE:
KENRICK R. LESLIE	
EXECUTIVE DIRECTOR	
CARIBBEAN COMMUNITY	CONSULTANT
CLIMATE CHANGE CENTRE	
DATE:	DATE: